

**DEPARTMENT OF THE TREASURY**  
**BUREAU OF ALCOHOL, TOBACCO AND FIREARMS**  
**ANNUAL FIREARMS MANUFACTURING AND EXPORTATION REPORT**  
**OF SEMIAUTOMATIC ASSAULT WEAPONS**

*(See Instructions on Reverse)*

1. NAME OF LICENSEE	2. TRADE NAME <i>(If any)</i>
3. FEDERAL FIREARMS LICENSE NUMBER	4. EMPLOYER IDENTIFICATION NUMBER (EIN)
5. ADDRESS <i>(Number, street, city, state, ZIP Code)</i>	6. MAIL ADDRESS <i>(If different than item 5)</i>

## 7. REPORTING PERIOD OF THIS REPORT

a. ANNUAL FOR CALENDAR YEAR ENDING DECEMBER 31, 2 \_\_\_\_\_

OR, IF BUSINESS HAS BEEN DISCONTINUED

b. FINAL REPORT FOR THE PERIOD JANUARY 1, 2 \_\_\_\_\_ THROUGH \_\_\_\_\_  
*(Date of discontinuance)*

## 8. DO YOU MANUFACTURE SEMIAUTOMATIC ASSAULT WEAPONS AS DEFINED IN INSTRUCTION 2 ON THE REVERSE OF THIS FORM?

☐ YES ☐ NO *(If yes, complete items 9-15. If no, complete items 11-15.)*

## 9. NUMBER OF SEMIAUTOMATIC ASSAULT WEAPONS PRODUCED, BY TYPES, FOR THE PERIOD COVERED BY THIS REPORT

*(See instructions on reverse) (If no production was accomplished, enter "0")*

TYPES OF SEMIAUTOMATIC ASSAULT WEAPONS	QUANTITY
a. SEMIAUTOMATIC ASSAULT PISTOLS	
b. SEMIAUTOMATIC ASSAULT RIFLES	
c. SEMIAUTOMATIC ASSAULT SHOTGUNS	
d. TOTAL SEMIAUTOMATIC ASSAULT WEAPONS	

## 10. NUMBER OF SEMIAUTOMATIC ASSAULT WEAPONS, BY TYPES, WHICH WERE EXPORTED

*(If no exportation was accomplished, enter "0")*

TYPES OF SEMIAUTOMATIC ASSAULT WEAPONS EXPORTED	QUANTITY
a. SEMIAUTOMATIC ASSAULT PISTOLS	
b. SEMIAUTOMATIC ASSAULT RIFLES	
c. SEMIAUTOMATIC ASSAULT SHOTGUNS	
d. TOTAL SEMIAUTOMATIC ASSAULT WEAPONS	

Under penalties of perjury, I declare that I have examined this report and, to the best of my knowledge and belief, it is true, correct and complete.

11. NAME	12. TITLE	
13. SIGNATURE	14. TELEPHONE NUMBER	15. DATE

# Instructions for the Preparation of ATF F 5300.11A

## INTRODUCTION:

ATF F 5300.11A is intended to report the number of semiautomatic assault weapons made in the United States and entering into commerce. Since semiautomatic assault weapons may be constructed from foreign firearms and used firearms, the reporting instructions on ATF F 5300.11A are different from those used in ATF F 5300.11 (Annual Firearms Manufacturing and Exportation Report). Please note that the firearms reported on this form should also be separately reported on the ATF F 5300.11.

### 1. REPORTING:

- (a) **THOSE FEDERAL FIREARMS LICENSEES** who hold either a Type 07 (*manufacture of firearms*), or a Type 10 (*manufacture of destructive devices*) must file in compliance with 27 CFR § 178.126.
- (b) **EVEN IF THERE HAS BEEN NO MANUFACTURE OF SEMIAUTOMATIC WEAPONS, A REPORT MUST BE FILED.**
- (c) **MANUFACTURERS HAVE UNTIL APRIL 1ST** to submit an annual report covering the preceding year's business activity. Prepare the report in duplicate, and retain a copy for your file.
- (d) **A FINAL REPORT MUST BE FILED** if the manufacturing license is discontinued during the year. Please report no later than 30 days following the end of your business activity. Include the date in Item 7B of the form.
- (e) **MAIL OR FAX THIS COPY TO:**

**Bureau of Alcohol, Tobacco and Firearms  
650 Massachusetts Ave., N.W., Room 5100  
Washington, DC 20226  
FAX: (202) 927-8601.**

### 2. TYPE OF PRODUCTION WHICH MUST BE REPORTED:

- (a) Report the number of semiautomatic assault weapons in the pistol, rifle, or shotgun category which you manufactured during the reporting period.
- (b) Include semiautomatic assault weapons which you manufactured during the reporting period from any foreign or domestic firearms which were not semiautomatic assault weapons when you acquired them. For purposes of this report, the manufacture of a semiautomatic assault weapon includes any modification to an existing firearm where the modification puts the firearm in the category of a semiautomatic assault weapon.
- (c) Include semiautomatic assault weapons that were in knockdown (*disassembled*) condition, consisting of a receiver and all parts needed to assemble a complete semiautomatic assault weapon, where the parts were packaged together at the time you sold, shipped, or transferred them.
- (d) Include semiautomatic assault weapons which you manufactured during the reporting period for the armed forces of the United States, or for transfer to other manufacturers.

### 3. TYPE OF PRODUCTION NOT TO BE REPORTED:

- (a) Do not report manufacture of existing semiautomatic assault weapons which you acquired from another source.
- (b) Do not report manufacture of any firearm which did not meet the definition of a semiautomatic assault weapon at the time you sold, shipped or otherwise transferred it.

### 4. DEFINITION OF A SEMIAUTOMATIC ASSAULT WEAPON:

The term semiautomatic assault weapon means -

- (a) any of the firearms, or copies or duplicates of the firearms, in any caliber, known as -
  - (i) Norinco, Mitchell, and Poly Technologies Avtomat Kalashnikovs (*all models*);
  - (ii) Action Arms Israeli Military Industries UZI and Galil;
  - (iii) Beretta Ar70 (SC70);
  - (iv) Colt AR-15;
  - (v) Fabrique National FN/FAL, FN/LAR, and FNC;
  - (vi) SWD M-10, M-11, M-11/9, and M-12;
  - (vii) Steyr AUG;
  - (viii) INTRATEC TEC-9, TEC DC-9, and TEC-22; and
  - (ix) revolving cylinder shotguns, such as (or similar to) the Street Sweeper and Striker 12;
- (b) a semiautomatic rifle that has an ability to accept a detachable magazine and has at least 2 of:
  - (i) a folding or telescopic stock;
  - (ii) a pistol grip that protrudes conspicuously beneath the action of the weapon;
  - (iii) a bayonet mount;
  - (iv) a flash suppressor or threaded barrel designed to accommodate a flash suppressor; and;
  - (v) a grenade launcher;
- (c) a semiautomatic pistol that has an ability to accept a detachable magazine and has at least 2 of:

- (i) an ammunition magazine that attaches to the pistol outside of the pistol grip;
  - (ii) a threaded barrel capable of accepting a barrel extender, flash suppressor, forward handgrip, or silencer;
  - (iii) a shroud that is attached to, or partially or completely encircles, the barrel and that permits the shooter to hold the firearm with the nontrigger hand without being burned;
  - (iv) a manufactured weight of 50 ounces or more when the pistol is unloaded; and;
  - (v) a semiautomatic version of an automatic firearm; and;
- (d) a semiautomatic shotgun that has at least 2 of:
    - (i) a folding or telescoping stock;
    - (ii) a pistol grip that protrudes conspicuously beneath the action of the weapon;
    - (iii) a fixed magazine capacity in excess of 5 rounds; and;
    - (iv) an ability to accept a detachable magazine.

### 5. OTHER DEFINITIONS

- (a) **Semiautomatic rifle.** Any repeating rifle which utilizes a portion of the energy of a firing cartridge to extract the fired cartridge case and chamber the next round, and which requires a separate pull of the trigger to fire each cartridge.
- (b) **Rifle.** A weapon designed or redesigned, made or remade, and intended to be fired from the shoulder, and designed or redesigned and made or remade to use the energy of the explosive in a fixed metallic cartridge to fire only a single projectile through a rifled bore for each single pull of the trigger.
- (c) **Semiautomatic pistol.** Any repeating pistol which utilizes a portion of the energy of a firing cartridge to extract the fired cartridge case and chamber the next round, and which requires a separate pull of the trigger to fire each cartridge.
- (d) **Pistol.** A weapon originally designed, made, and intended to fire a projectile (*bullet*) from one or more barrels when held in one hand, and having (a) a chamber(s) as an integral part(s) of, or permanently aligned with, the bore(s); and (b) a short stock designed to be gripped by one hand and at an angle to and extending below the line of the bore(s).
- (e) **Semiautomatic shotgun.** Any repeating shotgun which utilizes a portion of the energy of a firing cartridge to extract the fired cartridge case and chamber the next round, and which requires a separate pull of the trigger to fire each cartridge.
- (f) **Shotgun.** A weapon designed or redesigned, made or remade, and intended to be fired from the shoulder, and designed or redesigned and made or remade to use the energy of the explosive in a fixed shotgun shell to fire through a smooth bore either a number of ball shot or a single projectile for each single pull of the trigger.

- 6. **EXECUTION.** Every report must be executed (signed) by a person authorized to sign and be responsible for the completeness and accuracy of the information furnished.

The recordkeeping requirement for this form is indefinite.

### PAPERWORK REDUCTION ACT NOTICE

This form is in accordance with the Paperwork Reduction Act of 1995. The information you provide is used to compile statistics on the manufacture and exportation of semiautomatic assault weapons. The furnishing of this information is mandatory when requested pursuant to the provisions of 18 U.S.C. § 923(g).

The estimated average burden associated with this collection of information is 6 minutes per respondent or recordkeeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be addressed to Reports Management Officer, Document Services Branch, Bureau of Alcohol, Tobacco and Firearms, Washington, DC 20226.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.